UNITED STATES OF AMERICA

NATIONAL TRANSPORTATION SAFETY BOARD

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Investigation of: *

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EMPRESS OF THE NORTH

JUNEAU, ALASKA * Docket No.: DCA-07-MM-015

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Interview of: KEVIN STAPLES

Empress of the North Auke Bay, Alaska

Tuesday,

May 15, 2007

The above-captioned matter convened, pursuant to notice, at $3:30\ \mathrm{p.m.}$

BEFORE: MORGAN TURRELL

APPEARANCES:

MORGAN TURRELL, Operations Group Chairman National Transportation Safety Board Washington, D.C.

NICO CORBIJN, Senior Vice President Marine Operations Majestic America Lines

KEVIN STAPLES, Second Mate Empress of the North

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BARRY STRAUCH, Human Factor Specialist National Transportation Safety Board Washington, D.C.

LT. J.G. BRIERLEY OSTRANDER U.S. Coast Guard Sector Juneau

TIM FARLEY, U.S. Coast Guard Office of Investigations and Analysis Master Mariner participating in support of NTSB Investigation

LIAM LARUE

National Transportation Safety Board Washington, D.C.

CHRIS JULIUS

National Transportation Safety Board Deputy Director, Office of Marine Safety

PAUL WEBB, U.S. Coast Guard 17th District

KEVIN PURSUIS

National Transportation Safety Board

I N D E X

<u>ITEM</u>	PAGE
Interview of Kevin Staples, Second Mate	
By Mr. Turrell	4
By Mr. Pursuis	21
By Mr. Strauch	26
By Mr. Julius	32
By Lt. Brierley Ostrander	33
By Mr. Larue	37
By Mr. Strauch	38
By Mr. Farley	42
By Mr. Webb	49
By Mr. Strauch	52
By Lt. Brierley Ostrander	56

1 PROCEEDINGS

- 2 (3:30 p.m.)
- 3 MR. TURRELL: Okay, this is May 15th. We're on the
- 4 Empress of the North. We're interviewing Kevin Staples, Deck
- 5 Officer on board the ship at the time of the accident. My name
- 6 is Morgan Turrell of the NTSB. It's about 3:30 in the
- 7 afternoon. And to my left?
- 8 MR. CORBIJN: Nico Corbijn, from Majestic America
- 9 Line.
- MR. WILHELM: Mark Wilhelm, representing the witness.
- 11 MR. STAPLES: Kevin Staples, K-E-V-I-N S-T-A-P-L-E-S.
- MR. STRAUCH: I'm Barry Strauch.
- 13 LT. BRIERLEY OSTRANDER: Lieutenant J.G. Brierley
- 14 Ostrander, US Coast Guard, Sector Juneau.
- 15 MR. FARLEY: Tim Farley, US Coast Guard, Office of
- 16 Investigations and Analysis.
- 17 MR. LARUE: Liam Larue, NTSB.
- 18 MR. WEBB: Paul Webb, the 17th Search and Rescue.
- MR. PURSUIS: Kevin Pursuis (ph.), of the NTSB Office
- 20 of Marine Safety.
- 21 INTERVIEW OF KEVIN STAPLES
- BY MR. TURRELL:
- 23 Q. Okay, Kevin, could you tell me your civilian state of
- 24 residence?
- 25 A. Coos Bay, Oregon.

- 1 Q. And how old are you?
- 2 A. Thirty-four.
- 3 Q. And what is your current occupation?
- 4 A. Second Mate for the Empress of the North.
- 5 Q. And how long have you worked for Majestic?
- 6 A. I started employment February 2nd.
- 7 Q. Say again?
- 8 A. February 2nd of this year.
- 9 Q. And can you tell a full general background, say,
- 10 starting from high school, just like little chapters of your
- 11 life, how you got here?
- 12 A. I graduated high school in Panama City, Florida, in
- 13 1991. I went to the U.S. Merchant Marine Academy, graduated
- 14 there in '95. Went into the Navy, I did a year on board the
- 15 U.S.S. Juneau, and then I went into DOD. I got out of the Navy
- 16 in '98, went -- I sailed with Maritime Limited (ph.) on their
- 17 LMSRs as Third Mate. I advanced to Second Mate with them, so I
- 18 was sailing on LMSRs almost my entire career. That's Large
- 19 Medium Speed Roll-On/Roll-Offs, they carry military supplies,
- 20 almost all entirely MSC contracts.
- 21 Q. Okay.
- 22 A. Then in 2000 -- after September 11th, I went back
- 23 into the Navy, I was recalled back into DOD work, DOD mission,
- 24 San Diego.
- 25 Q. Of 2001?

- 1 A. I'm sorry, pardon me, 2001. Then I got out of the
- 2 Navy, went back to sea --
- 3 Q. What year?
- 4 A. 2004. And stopped sailing, had a family, port to
- 5 shore, and then just recently returned from Iraq. I did a year
- 6 in Iraq doing (inaudible) global, and decided to come back to
- 7 work back on the seas.
- 8 Q. When did you return from Iraq?
- 9 A. October of last year.
- 10 Q. And your current Navy rank?
- 11 A. Lieutenant Commander.
- 12 Q. Okay. Could you tell me all about your training at
- 13 the U.S. Merchant Marine Academy, what courses you took,
- 14 licensed training --
- 15 A. I was a grain measure -- grain transportation.
- 16 Q. Okay.
- 17 A. (inaudible) and spent four years there -- or, three
- 18 years at school, one year was spent at sea on ocean-going
- 19 ships. I believe I was on five different vessels over the
- 20 course of that sea year.
- Q. Okay. And simulator training?
- 22 A. Oh, yes. (inaudible) so -- professional handle
- 23 (inaudible).
- Q. Bill Keork (ph.)?
- 25 A. Keork, thank you. I'm sorry.

- 1 Q. Okay. What were the training at this point? Bridge
- 2 watch standing?
- 3 A. DRM.
- 4 O. DRM?
- 5 A. DRM, (inaudible), in March, then I had trenchstal
- 6 (ph.) navigation, plus some navigation.
- 7 Q. And how long, do you recall -- how long the simulator
- 8 course was?
- 9 A. It was one quarter, so ten weeks.
- 10 Q. And what were the -- what was the typical duty of
- 11 those ten weeks? How would they cycle through, and what kind
- 12 of responsibilities did you have?
- 13 A. Each week, it was only one period a week, so one one-
- 14 hour class a week, and you rotated watch standing. It worked
- 15 out for a simulator before you had doing charts, doing voyage
- 16 plan, getting your voyage ready probably took three or four
- 17 nights, it's admin and the bridge team together, got together,
- 18 did the charts, did the correction -- or, I'm sorry, not
- 19 corrections, but laying out the tracks for voyage plans. The
- 20 actual class was a cake walk compared to getting ready for the
- 21 class.
- 22 Q. And did that prepare you well for standing watch?
- 23 A. I believe it did. I was actually -- I graduated --
- 24 the only person in class who graduated above 95, so I was
- 25 pretty happy about that.

- 1 Q. Kevin, take us back to your role the day of the
- 2 accident. The day before the accident, must have been then the
- 3 13th.
- 4 Q. Yes, sir.
- 5 A. And tell us what you did when you woke up, what
- 6 (inaudible).
- 7 Q. Well, I came on watch at 8 am, and found out that
- 8 Michael Chase (ph.) had come down with a GI Illness, or what we
- 9 suspected -- he had gone down the night before, sick, so
- 10 Captain informed me that we were going to send him off to go
- 11 see a doctor, see if he actually did have GI Illness, so -- the
- 12 Captain informed me that I would be doing bridge tours. We
- 13 were in Skagway, which is normally a day that we're in port all
- 14 day. We do drills in the morning, and then it's pretty much
- 15 the only day that we have more than four hours of work. So
- 16 it's a great day to get things done. I spent the entire watch
- 17 doing chart corrections, because we had just gotten updated on
- 18 our chart corrections. We were looking at -- pardon me, excuse
- 19 me. We had finally been able to get our notice of mariners.
- 20 The last time we had was before lunch, so we were only missing
- 21 two weeks, so I got those all up to date for the Alaskan
- 22 waters. And that's what I spent my -- the majority of my watch
- 23 doing.
- 24 Q. Okay.
- 25 A. It's Marino, is that what it -- Marino?

- 1 Q. Uh-huh.
- 2 A. He was going to take over the watch at noon, but I
- 3 was going to do the bridge tours, so I ran out real quick to
- 4 get some Raven's Brew coffee, because that's -- that's a
- 5 favorite of mine. So I ran off the ship, grabbed some Raven's
- 6 Brew, ran back in time to do the bridge tours. They were both
- 7 -- one at 1:30, and the other one at 2:30. Did both bridge
- 8 tours. I believe Marino was there with me, for the American
- 9 bridge tours, but I was just paying attention to all the
- 10 passengers and their questions. And then as soon as it got
- 11 done, I ran back off the ship to get more Raven's Brew before
- 12 we could set sail.
- 13 (inaudible conversation)
- 14 A. It's -- it's -- the first time I came here
- 15 was in '92 on a tanker, and I've loved Raven's Brew ever since.
- 16 You got to get some.
- 17 O. (inaudible)
- 18 A. No, it's (inaudible) bridge coffee. Came back on the
- 19 ship, went straight to bed. I don't know, that was probably
- 20 about 4:30, 4:30. I try to get to bed around 5:00 at least.
- 21 Q. Okay
- 22 A. Woke back up at 7:00 to grab a quick shower and a
- 23 bite to eat before I headed to the bridge, and I took the -- I
- 24 took the watch at 8:00. We were running -- we were just
- 25 finishing -- well, actually, we were just finishing up wiping

- 1 the fuel hull out, and I don't remember the exact name of the
- 2 point, but we were watching seals sea hunt. So we were just
- 3 basically holding in the water, watching the sea lions, not a
- 4 (inaudible), there on the side of the cliffs, and keeping the
- 5 passengers happy, and we saw whales and went off chasing whales
- 6 for a couple of hours.
- 7 (inaudible conversation)
- 8 A. We were looking for whales, whale sightings, so we --
- 9 that was pretty much the extent of it. We finally -- it
- 10 started getting dusk, dinner time, and brought the ship up to
- 11 speed, to 900 RPMs, and starting making ready for Glacier Bay.
- 12 Q. Do you recall (inaudible) --
- 13 A. Glacier Bay.
- Q. So, do you recall what time it was when you took the
- 15 speed up to 900 RPMs, roughly what time it was then?
- 16 A. No. I can't make a -- I really didn't watch
- 17 (inaudible) -- probably around midway, it was maybe around
- 18 21:30, 22:00.
- 19 O. Was it that dark?
- 20 A. It doesn't really get dark here until around 10:00,
- 21 11:00, so it was dusk. It was definitely twilight.
- Q. Do you recognize this?
- 23 A. Yes, sir.
- 24 Q. And (inaudible) from the north here somewhere?
- 25 A. That's correct.

- Q. And the area where you watched sea lions, is that on
- 2 the chart?
- 3 A. No. It's actually north of here.
- Q. Okay. You were (inaudible) back up to 900 RPMs by
- 5 the time you got to this chart?
- 6 A. Yes. By this -- by this point, we had passed
- 7 (inaudible) lighthouse up here --
- 8 O. What's the name of this section?
- 9 A. Sentinel Island, which is kind of scenic -- yes, so
- 10 we took the island and looked at -- watched the lighthouses
- 11 going by. At that point, I brought it up to 900 RPM, so in
- 12 that vicinity, as we were heading -- then we headed south, and
- 13 by that time, the passengers were at dinner and a show, so --
- 14 and there really was no more whale sightings after we passed
- 15 Sentinel Island.
- 16 Q. And those RPMs brought you to what speed?
- 17 A. In perfect waters, about 12 knots.
- 18 Q. And do you recall what the tidal missions, tides and
- 19 currents, whether they made --
- 20 A. Nominal. I didn't notice any set or drift.
- Q. Do you normally make that as part of your routine,
- 22 knowing what the tides and currents are?
- 23 A. If you pay attention to the ship, yes, you can tell
- 24 if she's having hard time keeping track.
- Q. Okay, so do you recall making a fix on this chart?

- 1 A. No. No. The -- this was northbound here, this fix
- 2 here, but I do know that I was (inaudible) with the island at
- 3 23:30.
- 4 Q. Okay.
- 5 A. My -- I had made a voyage plan up that we would use
- 6 for the rest of the trip -- or for the rest of the season, and
- 7 Point Wiki (ph.) would be its predominant feature, that, I let
- 8 the other mates know that, "Hey, for a normal schedule for this
- 9 voyage plan, we need to be at Point Wiki by 23:30."
- 10 Q. What's your normal watch fix routine for -- the fix
- 11 is on a different chart.
- 12 A. Generally, I'm looking for -- instead of doing an
- 13 hourly or a half-hour (inaudible) for ease of going back to the
- 14 track, and the captain's looking through the log book and is
- 15 trying to figure out what's going on (inaudible), predominant
- 16 feature (inaudible) we look for predominant features on the
- 17 chart, and we just range in -- range in bearings. A B in point
- 18 would be -- and that was I was using in the log book, instead
- 19 of right on the hour or half-hour. But generally, I usually do
- 20 hourly fixes, especially in open water like this.
- Q. So the -- the hourly fixes you put on the chart and
- 22 in the log book?
- 23 A. That's correct. Yes.
- Q. And besides those hourly fixes on the chart, are you
- 25 using anything other H-Navigation (ph.) up on the bridge?

- 1 Equipment to extra position in a continuous sense, radar, or
- 2 ECDIS, or --
- 3 A. Yes. I use -- I use the ECDIS, I use the Nobeltec, I
- 4 rely heavily on the Nobeltec, I'm also using the radars and the
- 5 Train Runner I.
- 6 Q. What type of P-shield (ph.) radar is used in parallel
- 7 indexing, or how are you --
- 8 A. I use the UBL quite a bit, so I know if I'm getting
- 9 (inaudible), steer nothing right of X degrees.
- 10 Q. How are you -- ok, so you were here at 23:30?
- 11 A. Yes.
- 12 Q. Yeah, I guess take us from that point.
- 13 A. Marino actually came up early, right -- right around
- 14 23:30. I do remember seeing him come up, it was like, "Hey,
- 15 this is cool, " you know? So I do remember he came up to the
- 16 bridge early.
- 17 Q. Okay.
- 18 A. I showed him that if he followed the track lines,
- 19 he'd have no problem. He could either go south of Pud Rock
- 20 Island, or in the Nobeltec and on the ECDIS, it has us actually
- 21 going between -- a half-mile between land on the Snake River,
- 22 we have plenty of times where we're less than half a mile. Not
- 23 a big deal, so I didn't really think it was an issue. I told
- 24 him that he needed to go south of the island if he didn't feel
- 25 comfortable, or he could go right down through the channel

- 1 there.
- Q. All right, what were his remarks?
- 3 A. He -- he didn't tell me what he was going to do,
- 4 either way. Just nodded his head.
- Q. Okay.
- 6 A. Or affirmed that he understood.
- 7 Q. Any other information passed on?
- 8 A. I let him know that we were doing 900 RPMs. I told
- 9 him we would not have to adjust speed, because at the worst
- 10 case scenario, if he were to get to Point Adolphus earlier,
- 11 before we could pick up the rangers at Glacier Bay, that we
- 12 should wait. Point Adolphus is a great whale-viewing spot, so
- 13 if -- there's no -- there's no reason to slow down. We can get
- 14 there early, and passengers can have breakfast while watching
- 15 whales.
- 16 Q. Any other information passed on at the watch?
- 17 A. There were no contacts. The weather was beautiful,
- 18 calm and clear. And usually if we're on the autopilot, which I
- 19 know we were when I left the bridge, I give him the setting for
- 20 the degrees per minute that the gyro -- or the autopilot is set
- 21 at, let him know what speed setting it's at. The autopilot
- 22 steers depending on whether it's at a 5, 10, or 15 knots.
- 23 And --
- Q. What was the setting? You told him the setting?
- 25 A. Yes. When I left, there would have been no reason to

- 1 have it at anything other than 10 degrees. At 12 knots, going
- in a straight line, you can keep the degree -- rotation down at 2
- 3 10, 10, 10 degrees a minute.
- 4 So the setting was at 10 degrees per minute when you
- 5 changed the watch?
- 6 Α. Yes.
- 7 And how long did this conversation take? Q.
- Ten minutes. 8 Α.
- 9 Q. And what time did he assume the watch from you?
- He did assume it early, but it -- maybe 23:40. 10 Α.
- 11 Did you have any conversations with the Third Mate, Ο.
- 12 with Marino, prior to him taking watch as to any questions or
- 13 doubts he may have had?
- 14 Α. No.
- 15 Q. Did you have any conversations with him at all, about
- 16 anything?
- 17 Α. Actually, I had just -- I mean, the only conversation
- 18 I had was that he was from San Francisco. I mean, he had just
- 19 moved into my room because Michael Chase had moved out to
- quarantine him, and I hadn't even seen him in the room. 20
- 21 Ο. The Captain's standing orders -- what are your --
- what's your understand of watch procedures, taking fixes, 22
- 23 turning over the watch? Does the Captain have orders about
- 24 that?
- 25 I -- I've read the standing orders, but as far as --Α.

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- 1 it's more of a "no less than every hour" -- that's -- that's
- 2 just general seamanship, so if I actually read his orders, if
- 3 it was more, I would have taken notice.
- Q. Did he write orders every night, or was it --
- 5 A. Night orders aren't -- aren't common, no. We do --
- 6 we do -- we had night orders last -- last voyage, one night,
- 7 but for the most part --
- 8 Q. So they're not specific to every (inaudible) -- just
- 9 in general -- How long have you worked with Captain Orgain?
- 10 A. Four months.
- 11 Q. So you worked on this ship -- is it the only ship
- 12 you've worked on of the company's, is that --
- 13 A. Yes, sir.
- Q. MOAR, I've found this (inaudible) -- tell me, what
- 15 was the first -- you went down to bed, I assume you were
- 16 asleep.
- 17 A. Yes.
- 18 Q. What time do you go to sleep?
- 19 A. Generally, when I do the bridge, I'll go down and
- 20 have midrest, so there's usually a dining room staff is there
- 21 -- pardon me, it's just a time to socialize a little bit, and
- 22 so I may have spent a half-hour eating, midrest, and I lay down
- 23 and I read until I fall asleep. I may have been asleep maybe
- 24 1:00, 1:30. (inaudible) Oh, I'm sorry. But I know I wasn't
- 25 asleep that long when I got thrown out of the rack.

- 1 Q. You were thrown out of bed -- tell us, from there,
- 2 your activities after you got thrown out of bed.
- A. Well, I was in my boxers, I came out into the hallway
- 4 -- well, first of all, step back a few minutes. At first, I
- 5 thought it was scraping ice, because when we go up to the
- 6 glaciers, the town is -- I had it literally at the water line,
- 7 and so when we go through the glaciers, or up to the glaciers,
- 8 the sound of ice going by is pretty loud, and that's kind of
- 9 what it sounded like. Then the first thought was, wait a
- 10 minute, we're not supposed to be in the glaciers until my
- 11 watch. And I was like, "Ok, well we must have hit an iceberg,"
- 12 then I was like, "No, it's still going on, we didn't hit an
- 13 iceberg, so I got out of my rack, ran into the hallway to look
- 14 what was going on the -- the watertight door into the bow
- 15 pressure room is always shut, so I didn't see any -- see
- 16 anything obvious then. No rushing water. I closed the
- 17 emergency watertight door, or I closed the watertight door, I
- 18 yelled down the passageway, "Close the watertight doors," ran
- 19 back into my room, and that's when I heard Captain Orgain come
- 20 over the -- over the YMC -- I mean the PA system to announce
- 21 that it was an emergency, and all crew get above the water
- 22 line.
- 23 O. Okay.
- 24 A. I grabbed -- started putting on clothes, anything I
- 25 -- I could find, that was handy. I grabbed three jackets, a

- 1 couple of wool caps, and a lifejacket, and ran to the bridge.
- Q. What happened then?
- A. As I was running to the bridge, I passed a couple of
- 4 passengers asking, "What's going on?" I got to the bridge --
- 5 there was two hotel staff already on the bridge. Hurdy (ph.)
- 6 was there, and the gift shop attendant, I'm horrible with
- 7 names, but the gift the shop attendant. They were already
- 8 there, taking -- taking log notes. I don't know how they beat
- 9 me, but they beat me up there. It's kind of a little bit of
- 10 confusion going on. Captain was on the radio at the time, he
- 11 was also -- he made an announcement to the passengers that we
- 12 had had a -- had had a grounding, and please remain calm, and
- 13 informed them later. He was also on the radio with the Coast
- 14 Guard at the time. The Third Mate was still there, but he was
- 15 kind of ash white, and kind of in shock, so -- I immediately
- 16 turned off the alarms, I just started acknowledging alarms,
- 17 make sure that there wasn't anything that -- no fires or
- 18 flooding alarms that were immediate, acknowledged alarms. Most
- 19 of them were because we had lifted so much, (inaudible) eye
- 20 levels that I can remember.
- 21 Q. And then -- so, where did you go from there? Was a
- 22 station bell --
- 23 A. It was just the Captain on the bridge, outside of me.
- Q. So you stayed there --
- 25 A. I never left.

- 1 Q. How long before you -- the first vessel came to
- 2 assist you?
- A. I don't recall exactly, but I want to say there was a
- 4 vessel very close, immediately. But when I got there, I
- 5 believe I saw a fishing vessel in the vicinity, because you see
- 6 it. (inaudible)
- 7 Q. Can you tell me when you left the bridge, what the
- 8 radar settings would be at? What range it was set at?
- 9 A. Well, the new radars, I don't recall exactly what the
- 10 radar was set at. Normally we -- we operate in a Heads Up
- 11 Display almost entirely, which is what I'm comfortable life,
- 12 and I know Mike Milkey (ph.) and Mike Chase operate in that as
- 13 well.
- Q. (inaudible)
- 15 A. True motion is -- yeah, it's where I -- where I
- 16 prefer to keep it.
- 17 Q. Okay. And the ECDIS? Any settings on that, or if it
- 18 was -- any range? (inaudible)
- 19 UNIDENTIFIED SPEAKER: No reason to have aerial
- 20 photos.
- BY MR. TURRELL:
- Q. When you're on the bridge, what's your normal routine
- 23 for giving orders to the AB for making port changes? How do
- 24 you tell the AB to make changes?
- 25 A. I prefer to give headings.

- 1 Q. Headings?
- 2 A. Headings. Unless it's a large course change, then
- 3 I'll give it in degrees a minute. Pump it up from, you know --
- 4 we operate -- we try to operate in 10 degree -- 10 degrees per
- 5 minute, and so it'll take orders to increase it to 20.
- 6 Q. So 20 is sort of the max for change (inaudible)
- 7 A. No. No. 30 would be the max. A sharp -- a sharp
- 8 turn in a short amount of time. This turn, if I were to take
- 9 this on the autopilot, which it's not recommended, I would do
- 10 it at 30 degrees a minute.
- 11 Q. You said it's not recommended --
- 12 A. That's a sharp turn. I prefer to do that (inaudible)
- 13 -- coming from the -- coming from the west, you could come down
- 14 here and line it up, and it would be perfect (inaudible)
- 15 highway.
- 16 Q. So -- did -- did you tell him to go (inaudible) for
- 17 sharp turns, is that --
- 18 A. Sharp turns, yeah, we'll have much more control
- 19 (inaudible) -- you get -- amazing amount of control, and you
- 20 might as well use it.
- 21 Q. (inaudible) you would have -- end up making the same
- 22 turn, how many times have you done it on this ship before? Or
- 23 some other time you'd use hand steering.
- A. No, coming from the west, and as high as we were, I
- 25 would have stayed.

- 1 Q. Have you made this trip before the turn?
- A. Yes. I've made the trip before, but I don't recall
- 3 if I was on the watch during this. I do know for a fact that
- 4 we were coming back, I did have this going up, so I've actually
- 5 steered -- or gone by that island before.
- 6 Q. I'm going to turn over to Mr. Pursuis for a moment.
- 7 (inaudible).
- 8 BY MR. PURSUIS
- 9 Q. You've already answered a bunch of questions. You
- 10 said your main job during emergencies is up on the bridge.
- 11 A. Yes.
- 12 Q. Was there any other -- and what exactly were you
- doing the whole time you were up there, in terms (inaudible)?
- 14 A. Talking to Tammy (ph.) on the telephone.
- 15 Q. Tammy is --
- 16 A. Tammy is our vice-president. Director of Nautical
- 17 Ops. I was answering alarms, and any radio communications that
- 18 the Captain -- the Captain was talking to the Coast Guard,
- 19 somebody called on channel 13, I answered them, tried to take
- 20 some of the heat off of his back a little bit.
- Q. When did you decide to get all the passengers off the
- 22 ship? Or who made the decision?
- A. The Captain did.
- 24 Q. Okay.
- 25 A. The Captain makes that decision.

- 1 Q. Okay. How long into the event was that decision
- 2 made?
- 3 A. I don't know. I know that when I came up, it was
- 4 very soon after, within a maximum of a half-hour, he decided to
- 5 -- to start inflating life rafts, just as a precaution, and --
- 6 but the -- the order had not been given to abandon ship yet.
- 7 Q. Do you remember who you spoke on the radio with,
- 8 other than Tammy?
- 9 A. On the radio, it was purely fishing vessels that were
- 10 -- that we were communicating with. See if anybody would come
- 11 alongside. Like I said -- the majority of communications, the
- 12 Captain was making. If he was on the radio with the Coast
- 13 Guard, and somebody contacted us on the other -- on another
- 14 channel, then I would field those calls.
- 15 Q. Do you remember what vessels were in the area that
- 16 were assisting? What fishing vessels?
- 17 A. No. No. I -- no.
- 18 Q. Was there any sort of training program on the -- on
- 19 the vessel when you started working for the company?
- 20 A. Yes.
- O. What -- what was that?
- 22 A. Well, for the first four trips, I stood with Yeoman
- 23 Taylor (ph.), stood watching even the Second Mate on board
- 24 here, he's now on the Contessa as, I'm not sure what position,
- 25 Chief Mate? He's now Chief Mate on the Contessa, and he -- he

- -- I shadowed him for the first two trips, to the last few 1
- trips he sat back and just observed, made recommendations if I 2
- 3 -- if he felt that though I wasn't doing something appropriate.
- How long were those -- so that, that -- the first two 4
- 5 trips were they individual? Where you were breaking in with
- 6 him?
- 7 It was actually almost the first four trips. Α.
- And how long were those trips? 8 0.
- 9 Α. Seven days, each one.
- Was there any formal written qualification standards 10 Q.
- 11 that you had to have complete?
- 12 Α. No. I completed CTUS in September.
- 13 What about drills? Did you -- were they -- were --0.
- 14 was it a requirement for you to take part in drills, lead
- 15 drills --
- 16 Α. Short answer. We had drills, we have drills Yes.
- every week. On the river, it was when we'd go up, when we --17
- 18 we offloaded passengers to this place called (inaudible) Villa,
- 19 and then go to -- Tri-Cities area, take them back on to almost
- 20 the entire day when it was for transitting, when there's no
- 21 passengers on board, so it's a great day to actually do fire
- drills, one up front, the other's (inaudible). 22
- 23 Ο. How comfortable did you feel handing off the watch to
- 24 Third -- Third Mate.
- I've been doing this for almost 11 years. 25 Α.

- 1 did most anything.
- 2 Q. Well, as far as --
- 3 A. I'm sorry, I don't mean to sound cocky, but I -- I
- 4 know I felt that he was anxious, but I didn't feel like he was
- 5 scared, and, I mean, I knew it was his very first watch ever,
- 6 but everybody has a first watch.
- 7 Q. Okay.
- 8 A. I did tell him that -- I know that I
- 9 told him, if you'd like me to stay, I'll stick around. I'm
- 10 only a phone call away. If there's something that you're not
- 11 sure of, and you'd rather call somebody, and not wake up the
- 12 Captain, call me. I -- I can answer any small questions you
- 13 have.
- 0. Questions?
- 15 A. It's assumed that everyone knows that in an
- 16 emergency, you call the Captain first, but if it was like,
- 17 "Hey, how do I do this" or something, he could always call me.
- 18 Q. (inaudible) Who accounted for passengers when you
- 19 sent everybody (inaudible) muster stations, how was that being
- 20 taken care of?
- 21 A. That's part of the housekeepers -- take muster at all
- 22 the muster stations. They also go room to room and they have a
- 23 -- a search method, if you will, because in emergencies in the
- 24 past, they get people getting under beds and in the shower, you
- 25 never know what someone's going to do when they're scared. So

- 1 they actually go into the rooms, and then they put a big X on
- 2 the doors that they go by to make sure that nobody's in a
- 3 closet, or a shower, or hiding otherwise.
- 4 Q. Who do they report that to?
- 5 A. Once they are sure all the passengers are at the
- 6 muster station, have made an accountability check, they report
- 7 to the bridge that all are present and accounted for.
- 8 Q. And was that completed?
- 9 A. Yes.
- 10 Q. Was that logged?
- 11 A. The two ladies that were up on the bridge were taking
- 12 all his notes, so yes, I assume that -- she was doing -- I
- 13 mean, she was writing non-stop, so I assume yes.
- Q. And do you know if passengers were counted as they
- 15 left to go on to whatever various vessels there --
- 16 A. They were counted, the very first fishing boat came
- 17 alongside and took 33 passengers. We knew we had 33 passengers
- 18 -- or 30 passengers and 3 crew members, but we failed to get
- 19 their names originally. After that, we -- we started
- 20 collecting names of people who were left. We called the
- 21 fishing vessel and asked them to get a -- accurate first and
- 22 last names. I believe the Coast Guard called them as well.
- 23 O. And where is that information? How is that -- in the
- 24 log, is that what --
- 25 A. Of who went ashore? Or, I'm sorry, who went on the

- 1 fishing vessels?
- Q. Yeah, or any central barge, wherever they went.
- 3 A. That wasn't something that we were really concerning
- 4 ourselves with at that time. It's written down somewhere, I
- 5 would assume. (inaudible).
- 6 Q. That's all I've got. Harry?
- 7 BY MR. STRAUCH:
- Q. You've done it before, turning over a watch to
- 9 someone who's it's their first watch?
- 10 A. I can't say that I have.
- 11 Q. Oh, okay. You said you'd been doing this for 11
- 12 years, and (inaudible) long, turning the watch over to the
- 13 Third Mate?
- 14 A. Other than -- I mean, I know my first watch, I was
- 15 scared, but he didn't seem overly confident or overly scared.
- 16 Just the -- the first time you'd been able to drive -- first
- 17 time you drove your car with -- with a driver's license, with
- 18 no one in the car.
- 19 Q. Yes, and going back out. Do you remember your first
- 20 watch?
- 21 A. Yes.
- Q. Where was it?
- 23 A. I was on a research vessel leaving Anacortes,
- 24 Washington, and we -- was going straight to Wannapuka.
- Q. Daytime, or nighttime?

- 1 Α. Nighttime.
- 2 What ship was this? Ο.
- The U.S.M.S. Victorious. 3 Α.
- 4 MR. WILHELM: So it didn't go down?
- 5 MR. STAPLES: No, it's okay. He was Chief Mate
- 6 before, the same guy, you know?
- 7 BY MR. STRAUCH:
- 8 How did you know when he first -- took the first Ο.
- 9 watch?
- 10 It wasn't a secret. His license, I think, was dated Α.
- 11 (inaudible).
- 12 Q. Oh. Well. And what did he say when you offered to
- stick around? 13
- 14 "I appreciate it." You know. He didn't -- he didn't Α.
- 15 ask me to stay. Or I mean, obviously, I would have.
- 16 plenty of sleep.
- 17 Ο. You had what?
- 18 Α. I had had plenty of sleep.
- 19 How do you consider those -- the route that he was Q.
- 20 taking, in terms of passage (inaudible)?
- 21 I'm sorry -- it was wide open ocean. There's nothing
- he could have hit. Except that rock. I feel as though I'm not 22
- 23 going to -- I'm not going to make judgment, but it was like the
- 24 perfect watch.
- That rock that he hit, that was the only real hazard 25 Q.

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- 1 that was there?
- 2 A. Yes. I mean, everything's highlighted. That's the
- 3 chart we've been using for at least two -- two seasons, now.
- 4 Everything is actually highlighted that could possibly be a
- 5 danger.
- 6 Q. Now once you got past that, wide open?
- 7 A. Yes, sir.
- 8 Q. All right. You think in hindsight, you would have
- 9 stayed up here until he passed around the rock?
- 10 A. Yeah. Well, I mean, that was almost midway through
- 11 his watch. Almost two hours into when he took over the watch,
- 12 and so -- do I think, and I really don't want to make that
- 13 accusation, but everybody has a first watch, and nobody helped
- 14 me (inaudible).
- 15 Q. Okay. (inaudible)
- 16 A. Are you asking my opinion, sir?
- 17 O. Yes.
- 18 A. Yes, he spent four years there in the military -- or,
- 19 in a maritime school. What else did he do when he was there?
- 20 That's the training, not to go as far as mandated, the
- 21 training, that's -- you're qualified.
- 22 Q. Yeah, but then you said the training you got on this
- 23 company, these four seven-day trips, two of which you were --
- A. It's not normal. It's not normal. It's something
- 25 they went above and beyond on, to make sure that I was very

- 1 comfortable with this ship.
- 2 Q. And when you --
- 3 A. It's not normal.
- 4 Q. When you did this, how much experience had you had
- 5 (inaudible)?
- 6 A. I've been going to sea now since -- well, actually, I
- 7 worked on sideposts when I was in high school, so I've been
- 8 going to sea for quite literally since I was 16.
- 9 Q. Did the Captain say anything to you at a point about
- 10 maybe now we're not experienced enough to watch (inaudible)?
- 11 A. Yes, sir, we had discussed it.
- 12 Q. And what did he say?
- 13 A. We had agreed that it was an easy watch, much easier
- 14 than Snake River or the Columbia River, even, and one, two,
- 15 three -- three course changes, fairly easy. I mean, seriously,
- 16 I'm sorry, but we had discussed it, we did discuss that he
- 17 would take over the watch at Point A and probably be relieved
- 18 at Point B, and I -- the Captain did discuss the turnover, the
- 19 -- after turnover, to let him know that, "Hey, if he doesn't
- 20 feel comfortable, then, you know, take the island wide."
- 21 Q. And you know -- Jack Hansley (ph.) was on the wheel
- 22 at that time. How would you characterize his performance up to
- 23 this point?
- 24 A. Exemplary. Probably the -- one of the best ABs that
- 25 I have come across. He -- the week prior, he spent four, maybe

- 1 five hours trying to save a gentleman's life. He was a -- he
- 2 was a nurse before he came over here. I have nothing negative
- 3 to say about Ben. And a professional, too.
- 4 Q. When we were speaking earlier this morning, he
- 5 characterizes his role at the helm as one of taking orders.
- 6 A. Sorry (inaudible) -- he doesn't know that we have a
- 7 (inaudible) -- ask your questions, I'm sorry.
- 8 Q. How would you state his role? At the helm, you know,
- 9 standing next to the deck (inaudible) --
- 10 A. You take your orders.
- 11 Q. And Ben gives you them?
- 12 A. No sir, Marino gives the orders.
- 13 Q. I'm sorry.
- 14 A. Ben takes orders. Yes, sir.
- 15 Q. And that's -- that's your understanding of --
- 16 A. Yes.
- 17 Q. -- your expectations (inaudible)
- 18 A. Yes, sir.
- 19 Q. Did you expect Ben to have spoken up if he thought
- 20 it --
- 21 A. That was why we had Ben. Ben normally doesn't stand
- 22 that watch; he was, I believe, at 3:00 in the afternoon, he had
- 23 told him to go to bed, come up to stand watch with Marino,
- 24 because he is the best AB, he knows the ship very well. I know
- 25 he's been here at least a year, maybe longer. He knows the

- 1 ship very well, and he's comfortable steering. So we put the
- 2 most experienced AB we had on the bridge to help guide him.
- 3 Q. How would he guide him?
- 4 A. I mean, if it was me, he would maybe give
- 5 suggestions. Now, for instance, if you're going to do those
- 6 turns, if it's a big turn, you may want to increase the turns -
- 7 or the rotations per minute to 30. Maybe if he wasn't
- 8 familiar, I --
- 9 Q. (inaudible) just following orders (inaudible) --
- 10 A. His first duty is to take orders. But we asked him
- 11 to go above and beyond, and give suggestions, help.
- 12 Q. You simply ask him to do that?
- 13 A. Yes, sir.
- 0. (inaudible)
- 15 A. Captain (inaudible).
- 16 Q. So the Captain saw his role as (inaudible), not just
- 17 following orders, but also giving (inaudible).
- 18 A. Yes, sir.
- 19 Q. Did you like Kings Point?
- 20 A. No.
- 21 Q. Why?
- 22 A. Anybody else here go there?
- UNIDENTIFIED SPEAKER: Yeah.
- MR. STAPLES: Did you like it?
- 25 UNIDENTIFIED SPEAKER: No (inaudible).

- 1 MR. STAPLES: Nobody likes going to Kings Point. You
- 2 do it, graduate, and you move on.
- 3 BY MR. STRAUCH:
- 4 Q. What made you go to Kings Point?
- 5 A. I was lucky. I just happened to -- a Congressman
- 6 came through my mom's office one day, and pledged my mom, and
- 7 now she handles his business, and -- I didn't have the grades
- 8 to go to the Naval Academy, and he said, "Well, how do you like
- 9 the Merchant Marine Academy?" I knew nothing about it, and --
- 10 except that it was outside New York City, and you only spent
- 11 three years there. I was like, "Hey, it sounds great to me."
- 12 Q. Yeah.
- 13 A. But as far as, did I like it in a professional sense?
- 14 Yes. It was -- it prepared me for life, it prepared me for
- 15 being a mariner.
- 16 Q. And at this point, when you went there, you hadn't
- 17 considered a career in the Merchant Marines?
- 18 A. I wanted to be a Navy Seal, from high school on, I
- 19 wanted to be a Navy Seal. And that was -- that was a way to go
- 20 (inaudible).
- 21 O. (inaudible)
- 22 A. DOD. I broke my leg before I went to (inaudible).
- Q. All right, thank you. Chris?
- BY MR. JULIUS:
- Q. I just have a couple of questions. Were there any

- 1 problems on the bridge, any equipment, any (inaudible), any
- 2 squawks, any equipment --
- 3 A. The air conditioner wasn't working.
- 4 Q. And during the standing of your job as deck officer,
- 5 who do you answer to?
- 6 A. The Captain.
- 7 Q. And would the Third Mate also answer to the Captain?
- 8 A. First and foremost rule, you answer to the Captain.
- 9 Q. So, in your communication with the Captain, was it
- 10 pretty clear as to who to call with any questions or problems?
- 11 A. I've never in my life had any problem with calling,
- 12 especially a Captain (inaudible).
- 13 Q. This particular Captain, was there any issue --
- 14 A. Probably more -- I probably feel more open coming to
- 15 him about things than any Captain I've ever had. Or I feel
- 16 more at ease.
- 17 O. In general, your characterization of the crew -- list
- 18 the Captain as a very receptive individual to questions, or
- 19 comments, or --
- 20 A. Very professional, but very open.
- 21 MR. TURRELL: Danielle Orchard (ph.), she's -- just
- 22 her?
- BY LT. BRIERLEY OSTRANDER:
- Q. I have a question about the two track lines. Why are
- 25 there two, and how do you (inaudible)?

- 1 A. Well, this --
- Q. This one is just going around (inaudible).
- 3 A. The actual track lines they view on the Nobeltec, the
- 4 south -- the southern track line -- depends on where we're
- 5 going. The southern track line comes from Point Adolphus,
- 6 where we watched whales, so the -- the track line here, the 291
- 7 track line, is actually coming from Point Adolphus because
- 8 we're south in the bay there, or the channel. The northbound
- 9 track line, course 314, is actually going to Bartlett Bay (ph.)
- 10 to pick up our rangers.
- 11 Q. But I'm I'm I'm saying specifically about
- 12 (inaudible) -- you can cut across here, or go around here.
- 13 A. Okay. On this track line, the one that was written
- 14 -- or drawn down before I arrived was to take the island to the
- 15 south, the safest way. This track line here had been -- the
- 16 Chief Mate Mike Mulkey had told me that this was a totally safe
- 17 route, and it kind of cuts a corner, and there's nothing but --
- 18 I mean, it's a half-mile. With the Mates' experience here, a
- 19 half-mile is, if you will, nothing but net. It's -- it's --
- 20 it's perfect. It's more than we normally have on the Snake
- 21 River, so it's never even a -- an issue. And -- coming from
- 22 BC, I wouldn't say that. To come in from the river, we're well
- 23 trained in this. So the -- this corresponding 265 is actually
- 24 the track that you would take on a normal -- as far as I know,
- 25 the vessel has never actually gone south of the island.

- 1 Q. And are both of these options in Nobeltec?
- A. In Nobeltec, the track line laid down is actually
- 3 265.
- 4 Q. So it doesn't even have the south one --
- 5 A. No. No.
- 6 Q. The other question is, if you're on watch with Ben,
- 7 how much autonomy do you give him, (inaudible) direction do you
- 8 give him?
- 9 A. More than I would any other AB I've ever experienced.
- 10 I trust him a lot.
- 11 Q. What -- if you were making this turn, what kind of
- 12 orders would you give him?
- 13 A. As far as orders, I would probably give observation.
- 14 I would be standing next to him, watching -- I mean, me,
- 15 personally, I can't answer for anyone else, but I would have
- 16 been standing there, watching, making sure that if he did put
- 17 it in hand, that we maintained at least a 30 degree per minute
- 18 turn, or if he decided to use it on the autopilot, that he
- 19 would have bumped it up to a 30 degrees per minute.
- 20 Q. But basically, you're saying you would leave the
- 21 turning up to him, and you'd just serve -- observation --
- A. Well, I'm not there for observation. It's my watch.
- 23 O. Yeah.
- 24 A. But I give him a lot more autonomy than I've ever
- 25 given any AB before. I've seen him work, I trust him --

- 1 Q. Okay.
- 2 A. -- but it's still my responsibility.
- Q. Okay. I guess what I'm asking is, would you -- you
- 4 don't need to give him step-by-step directions?
- 5 A. No. I don't feel as though I have to hold his hand.
- 6 Q. I got the impression that you were part of the
- 7 decision-making process of putting Marino on this watch.
- 8 A. No, I was not.
- 9 Q. Oh. The ways that we --
- 10 A. I'm sorry. I don't -- I had nothing to do with
- 11 making the decision. If I was Captain, I would have made the
- 12 same decision, probably, though.
- 13 Q. That's all I've got.
- BY MR. TURRELL:
- 15 Q. Just to clarify, who entered the Nobeltec info -- who
- 16 modified the track, who's authorized to change the Nobeltec?
- 17 A. The tracks that were entered in before I was -- I
- 18 came here --
- 19 Q. Do you know who is authorized -- who is -- would
- 20 normally be authorized to make changes to Nobeltec?
- 21 A. Generally the Second Mate. And I know (inaudible).
- 22 Q. Okay.
- 23 A. Exactly. Well, it's the Second Mate's choice -- it's
- 24 the Second Mate's job.
- 25 Q. What other collateral duties do you have, besides

- 1 watch standing?
- 2 A. I'm also the security deck, the security officer.
- 3 Q. And how many hours a day does that take out of your
- 4 time?
- 5 A. Two to three, at the most. When we pull into port,
- 6 we also do a declaration of understanding with the -- or a
- 7 declaration of security with all the ports. But once -- those
- 8 are good for 90 days, so once we do it, it's done. That day in
- 9 Skagway, I had had a meeting with all the deck crew about --
- 10 because we had a high turnover, we had a meeting of their
- 11 responsibilities as far as security and gangway duties.
- 12 BY LIAM LARUE:
- 13 Q. Are there written emergency procedures on board the
- 14 ship?
- 15 A. Yes.
- 16 Q. Where are those located?
- 17 A. They're in the SMS manual, which is --
- 18 O. Where would I find it?
- 19 A. That's on the bridge, in the bookshelf.
- Q. When you get to the ship, is there a requirement to
- 21 become familiar with that?
- 22 A. Yes.
- O. Okay. What other documents?
- 24 A. Well, the -- every crewmember is a -- is required
- 25 within 24 hours of coming aboard to go through that

- 1 familiarization. As an officer, I never saw it documented,
- 2 whether it was or not, it's just prudent -- the first thing you
- 3 do is, you read manuals.
- 4 O. What's involved in that familiarization?
- 5 A. Emergency procedures, familiarization with your
- 6 duties -- with your watch stations. They go through all the
- 7 life rafts and how to deploy them.
- Q. And you said you don't know if that's documented?
- 9 A. No, that is documented.
- 10 Q. Where would that be documented?
- 11 A. First Officer's office.
- 12 Q. First Officer's office?
- 13 A. Yes.
- Q. And how is that communicated to you? Who told you to
- 15 get -- to do that when you came to the ship?
- 16 A. That's a requirement on every ship. It's actually
- 17 (inaudible) -- and so, with them, I believe it's 24 hours of
- 18 coming aboard, you're required to go through that initial
- 19 training, and they -- onboard the Empress of the North, the ABs
- 20 generally get the walk-around -- the walk-around tour. Mr.
- 21 French is also one of those ABs that performs (inaudible).
- Q. Barry?
- BY BARRY STRAUCH:
- Q. You said when you first got on the vessel, the first
- 25 vessel of this company, you had four weeks of on-job training,

- 1 for lack of a better word?
- 2 A. Yes, sir.
- 3 Q. Okay. At the end of that training, what did you feel
- 4 -- you gained something that you didn't have when you first
- 5 came on board the vessel? (inaudible)
- 6 A. The biggest thing was the D Drives. It's taking D
- 7 Drives, it's taken everything I've learned at Kings Point,
- 8 sailing on the big ships, and, if you will, throwing it out the
- 9 window. D Drives are very new to me. And it's -- I mean, it's
- 10 -- the ship does much more than I've ever done with any ship
- 11 before. The fact that I can stop it in a flank, I can turn it
- 12 on a dime -- you don't do that with -- with a propeller and a
- 13 rudder. So I had a much more -- greater understand of what the
- 14 ship is capable of doing.
- 15 Q. And when you came aboard, how familiar were you with
- 16 things like -- ECDIS?
- 17 A. ECDIS?
- 18 O. Yes.
- 19 A. I was very familiar with ECDIS.
- 20 Q. And where did you gain that familiarity?
- 21 A. ECDIS actually came about just before I left Kings
- 22 Point, and on the LMSRs, we actually had very good systems
- 23 there as well.
- Q. (inaudible)
- 25 A. Yes. I actually interviewed for a job with Northrop

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- 1 Grumman to teach their ECDIS system a few years ago.
- Q. Now let's say someone had never had experience
- 3 working with ECDIS, and -- what would you have told them before
- 4 you come on watch?
- 5 A. Under the IMO regulations, they would never have
- 6 gotten a license.
- 7 Q. They would have had to know something --
- 8 A. That's a basic knowledge to get a license.
- 9 Q. Would you -- looking back, if you had taken the
- 10 watch, on your first or second day on the vessel, before you'd
- 11 had those four weeks of training, how different would it have
- 12 been, or having taken this training versus (inaudible) --
- 13 A. I don't really think I understand the question.
- 14 O. How effective were those four weeks of training? And
- 15 would it have changed your performance?
- 16 A. If I hadn't had the --
- 17 Q. Yes. If you had gone on watch immediately before
- 18 having taken the four weeks of training, versus how you
- 19 actually did it -- (inaudible) --
- 20 A. I think it is speculating. I mean, I'm confident,
- 21 without being cocky, but -- to be quite honest, when I got done
- 22 the four weeks of training, I was like, "All right, it's on."
- 23 You know, I know how to sail the ship. So at the time, when I
- 24 was going through it, I was like, "All right, let me take it."
- 25 You know, I really was anxious to get into it. It just allowed

- 1 me to gain a lot of (inaudible) familiarities, I felt a lot
- 2 more comfortable with this ship when I did go through training.
- 3 Had I not had it, I think (inaudible).
- 4 Q. What about scenarios? Did you gain any experience
- 5 with the route? Or were you already familiar with the route?
- 6 A. The route here in Alaska, or on the river?
- 7 Q. Wherever you operate.
- 8 A. Well, on the river, it was key on the river, because
- 9 we have so many calling points. Each watch, you have maybe
- 10 four or more calling points, and turns that don't go over
- 11 there, that's not mud, that's real rocks. So that was a big
- 12 part of that training, knowing -- getting to know the river,
- 13 not necessarily pilotage knowledge, but very familiar with the
- 14 river as well. This is only my second time up here -- or, I'm
- 15 sorry, my second week up here. So this is a new experience for
- 16 me as well. But, if you look at the chart, to run aground, you
- 17 would almost have to hit a cliff here, for the most part. When
- 18 you're driving, when you're going along, it's -- I'm looking at
- 19 the lowest depth of -- or the shallowest depth of 76 fathoms.
- 20 It's not any -- nothing's concerning. It's almost deep ocean.
- 21 Q. You said that, if you had to do it over again, even
- 22 though you weren't involved in this decision, you would make
- 23 the same decision the Captain did, and turn the watch to Marino
- 24 (inaudible) --
- 25 A. Did I say it that way?

- 1 Q. I don't remember specifically, but you said you would
- 2 make the same decision.
- 3 A. If I was in the Captain's shoes, I would have made
- 4 the same decision.
- 5 Q. And why is that?
- 6 A. Because you'd spent four years learning your job.
- 7 You're qualified. The Coast Guard is -- The Coast Guard and
- 8 the U.S. Government say you are qualified to stand this watch.
- 9 There's no -- there's no reason for anyone in my position to
- 10 (inaudible).
- 11 Q. All right, thank you.
- 12 MR. TURRELL: Tim?
- BY MR. FARLEY:
- Q. Who makes the (inaudible)?
- 15 A. Yes, sir. These track lines are used a lot, and when
- 16 you're using dividers, they pierce holes -- they take a while
- 17 -- to the Second Mate, when they get to a port, that's the way
- 18 it's done, to erase the -- to erase the pencil markings without
- 19 damaging the actual track line. And then they also, when you
- 20 put -- fold it in with the dividers, you use the dividers to
- 21 mark the hole (inaudible).
- 22 Q. All right. So as you take on that 265 line, that's
- 23 (inaudible)
- A. The one that's written in pencil?
- Q. Yes. Do you know who put that line in there?

- 1 A. I do not.
- Q. You don't know whose handwriting that is?
- 3 A. No, sir.
- 4 Q. Okay. Are you involved in the voyage planning
- 5 process?
- 6 A. Voyage planning is my responsibility.
- 7 Q. Okay, and (inaudible) track lines, would that be part
- 8 of planning?
- 9 A. Yes, sir.
- 10 Q. And do you have some of those true shore voyage
- 11 plans?
- 12 A. No.
- Q. Uh-huh, and how was that -- transpired, how were you
- 14 (inaudible).
- 15 A. Once I do that week's voyage plan, the week before, I
- 16 let the Captain know, and it's on the bridge. There's no
- 17 reason for me to change the voyage plan from one week to the
- 18 next, unless the Captain gives me notice that, "Hey, we're
- 19 going -- we're doing something different,' then I'll change the
- 20 voyage plan accordingly.
- Q. Any reason that you can think of, why you would offer
- 22 options in a voyage plan? Optional courses, optional tracks?
- 23 A. There are reasons, but none that I've ever
- 24 experienced on board here. I mean, there's no reason to pull
- 25 up tides and currents for this area, because it's such a wide

- 1 area, really wouldn't affect us that much.
- Q. What are the tidal ranges here?
- 3 A. Depends on where you are, sir.
- Q. Okay, let's say right there. On that point, right
- 5 there. Or in Juneau. What's the tidal range there?
- 6 A. I believe it's around 20 feet.
- 7 Q. Twenty feet, up? And what would the expected current
- 8 be if it was running back (inaudible)?
- 9 A. I can't guess without looking -- without looking it
- 10 up, I can't judge. This area, I wouldn't assume a wide
- 11 (inaudible) tide function. Wrangell Narrows, yes. Wrangell
- 12 Narrows, we actually print out -- we print out the currents for
- 13 Wrangell Narrows, and there's another (inaudible) that we go
- 14 through, that I used to print out currents for that area.
- 15 Q. Was there any type of current that was -- data
- 16 unavailable, was it made unwatchable, this area?
- 17 A. Yes, sir. He could easily go on the computer, pull
- 18 that out if he felt the need. There's nothing on the chart to
- 19 indicate that there's anything of interest. If there's a tidal
- 20 or an eddy currents or something that could cause problems for
- 21 a mariner, the charts will note it, and it will have lines and
- 22 arrows pointing the intensity and the direction of the major
- 23 current for that area. There's nothing on this chart to
- 24 indicate that.
- Q. (inaudible) You would have tidal current indicators

- 1 on this type of chart, or a pilot chart?
- 2 A. I'm not saying that they'll -- more piloter's charts,
- 3 but I'm not saying they're -- I'm not saying they're going to
- 4 give you what the tide is at that time, the current will be at
- 5 that time, but if there's like an eddy in a certain area, and
- 6 there's something to be concerned about, and --
- 7 Q. As part of your voyage planning, do you have tidal
- 8 information available, for your supposed ETA at Glacier Bay, or
- 9 ETA at Juneau, or wherever you're going to go? Do you print
- 10 that out as part of your --
- 11 A. Yes. Yes.
- 12 Q. Is there a way (inaudible) -- even without breaking
- 13 out the books up in the wheelhouse, that you would know the
- 14 tidal information in your location?
- 15 A. Yes, sir.
- 16 Q. And what would that be?
- 17 A. There's a GPS on the bridge that'll give you, for
- 18 your location, the tidal ranges.
- 19 Q. It's at the chart table?
- 20 A. Yes.
- 21 Q. Okay. And you have a visual -- you have an
- 22 understanding if it's (inaudible).
- 23 A. Correct.
- 24 MR. WILHELM: Eventually Nobeltec (inaudible) is a
- 25 separate GPS system.

- 1 MR. STAPLES: It is an option, but it's never turned
- 2 on. It actually puts a big red line, and kind of adds clutter.
- 3 BY MR. FARLEY
- Q. Is it possible -- is there a way after this, if we
- 5 have time, or maybe tomorrow, that we could actually see that
- 6 on the Nobeltec?
- 7 UNIDENTIFIED SPEAKER: When we're up there with the
- 8 Third Mate. I'd say we were unable to understand, we weren't
- 9 going to manipulate the equipment at this point.
- 10 MR. TURRELL: Get in here tomorrow, we'll set up some
- 11 time, we'll show this on the bridge equipment.
- 12 BY MR. FARLEY:
- 13 Q. Now when you're running the autopilot, is that on a
- 14 track, or a course?
- 15 A. Course.
- 16 Q. It's a course. When you're running courses, is there
- 17 a distance off-track that you become concerned about, or, you
- 18 know, you're running down, (inaudible), you have a variance in
- 19 your (inaudible), but is there an allowance or a maximum
- 20 allowance in your orders or policy?
- 21 A. No.
- 22 Q. Regard that, the 265 track line inside that rock
- 23 island, like -- could you tell me, approximately, the distance
- 24 off that track line is to that reef -- or to that marker, or
- 25 hazard? Can you tell, without dividers? I don't --

- 1 A. Maybe a quarter-mile?
- 3 A. It's a half-mile from the nearest rock to the island.
- 4 Q. Okay. Now in your experience, on the Columbia River,
- 5 (inaudible) similar, you stated that you were comfortable with
- 6 that.
- 7 A. Yes, sir.
- 8 Q. You had resource management, I'm sure, at the
- 9 Academy, you were --
- 10 A. It's a requirement at the Academy.
- 11 Q. That voyage planning, it's a quarter-mile off the
- 12 hazard, did training consider it a problem?
- 13 A. If I was in the open ocean, yeah, it would be a -- it
- 14 would be a problem. I mean, I wouldn't lay the voyage plan
- 15 like that if I was in the open ocean, but these mariners here
- 16 are top-notch.
- 17 Q. Okay. Now earlier, I do recall, you did say there
- 18 was a safer route. Is that right?
- 19 A. Yes, sir.
- O. Okay, what would that be?
- 21 A. To go south of the island.
- 22 Q. South of the island. And why was that deemed safer?
- 23 A. There was fog. Any atmospheric conditions that, you
- 24 know, if I couldn't see the rock, I didn't feel comfortable,
- 25 the radar wasn't operating properly -- nothing out of the

- 1 ordinary or anything.
- Q. So I'm the voyage planner. What is the (inaudible)
- 3 for that area, down there. Is it that point south of the rock,
- 4 or is it (inaudible)?
- 5 A. The voyage plan is in the GPS, or in --
- 6 Q. Whatever the -- approved voyage plan you have, what
- 7 is the track line?
- 8 A. The track line that's in the Nobeltec goes between
- 9 the island and the land.
- 10 Q. And that was approved by the Captain?
- 11 A. Yes.
- 12 Q. Gyro error. Did you -- how do you determine that,
- 13 and how often do you determine that?
- 14 A. I haven't.
- 15 Q. Has there been a problem (inaudible) gyro --
- 16 A. No, there's never been a problem. There's never been
- 17 an indication. Gyro is always matched up with the GPS.
- 18 There's never been a (inaudible).
- 19 Q. But how would you let them see (inaudible) detect
- 20 gyro error?
- 21 A. I would take (inaudible).
- 22 Q. Any easy methods to check that? Gyro error?
- A. Rangers.
- Q. Are there any around here? (inaudible)
- A. Not (inaudible)

- 1 Q. As far as the particulars in this vessel, forward
- 2 house, 300 feet long --
- 3 A. Three-fifty.
- 4 Q. When you're maneuvering by buoys, and you're
- 5 attempting a course change, does any peculiarity for you --
- 6 with a pencil (inaudible) this particular layout, as far as
- 7 preventing you (inaudible) course change? To this point, those
- 8 types of things --
- 9 A. To the point, worry about where you're standing
- 10 (inaudible) just slightly after (inaudible) stand.
- 11 Q. So, all right. I think that's it for me. Thank you.
- 12 MR. TURRELL: Paul?
- 13 BY MR. WEBB:
- 0. (inaudible) whatever (inaudible) -- the end of your
- 15 watch -- you say when you graduated (inaudible) -- directly
- 16 into the Navy?
- 17 A. Yes, sir.
- 18 Q. When you went into the Navy -- you got on board a
- 19 ship right away?
- 20 A. Yes, sir.
- Q. And you were a deck officer there?
- 22 A. Actually, yes, sir, I was. I was on --
- Q. How long did it take you (inaudible)
- A. About nine months.
- Q. Nine months?

- 1 A. Yeah.
- Q. When you -- overseeing anything, (inaudible) did you
- 3 initiate -- do you make a call to initiate (inaudible) -- or
- 4 (inaudible)?
- 5 A. Not generally. Normally, on a normal deep sea --
- 6 ocean-going ship, they don't make (inaudible). If they did,
- 7 I'd smack them down pretty fast. They're -- because we're
- 8 making so many course changes, we're making a lot of course
- 9 changes regularly, it's more paying attention to what's going
- 10 on, making sure that they're making the course changes -- at a
- 11 regular time. To get back to your question about the Navy,
- 12 it's not just bridge (inaudible) -- you've got weapon systems,
- 13 damage control, there's a lot more involved than just standing
- 14 watch.
- 15 Q. All right. Okay. I put down -- how long did you say
- 16 it took to get qualified (inaudible)?
- 17 A. You go from JO W to JO -- sorry, OOW to OOD, depends
- 18 on how fast your Captain feels comfortable with you. You could
- 19 qo from JOW to JOD -- or pardon me, Junior Officer of the Watch
- 20 to Officer of the Watch in a couple of months. In a couple of
- 21 months. But you got to -- you also understand, you're taking a
- 22 guy with a Bachelor's of English degree and trying to teach him
- 23 how to turn the ship. He didn't -- he didn't take somebody who
- 24 might have spent four years learning how to navigate.
- 25 Q. You went -- you went to the Merchant Marine Academy?

- 1 A. Yes, sir.
- Q. And so you graduated there -- you came out with a
- 3 Bachelor's of English?
- 4 A. No. No, I had a -- the other Navy officers.
- 5 Q. The other Navy officers.
- 6 A. Navy officers have all the fun, because all they have
- 7 is a degree.
- 8 Q. But -- when you got on board the Navy ship, did you
- 9 feel comfortable that -- you were ready to be -- to navigate
- 10 that ship as the Battalion officer, the deck (inaudible) -- on
- 11 a Navy ship?
- 12 A. Yes, I felt comfortable. Would I feel comfortable
- 13 with the guy standing next to me taking over? No, sir.
- Q. (inaudible) Did you feel that confident? Since you
- 15 were breaking in, at what point did you say, "You know, I could
- 16 actually (inaudible) " --
- 17 A. When I stepped on board.
- 18 Q. When you stepped on board?
- 19 A. I had four years of training to get to that point.
- 20 The guy standing next to me with the Bachelor's of English
- 21 degree had no -- well, he had six months of Newport, Rhode
- 22 Island training. That doesn't cut it. There's a difference
- 23 there. Sorry, I just want to clarify that. The Navy is set up
- 24 for the lowest common denominator. I obviously felt as though
- 25 I was comfortable.

- 1 Q. Did you say -- what was your job in Iraq?
- 2 A. Bomb disposal.
- 3 Q. Bomb disposal. So -- did you enjoy that type of
- 4 work?
- 5 A. I love it.
- 6 Q. Do you consider yourself a risk averse person, or --
- 7 A. I felt at the time, (inaudible) by the Navy, being
- 8 assigned that job. So --
- 9 Q. Do you have any other hobbies? Like different types
- 10 of sports?
- 11 A. Yes, I do.
- 12 Q. What kind of things do you like to do?
- 13 A. Rock climbing, kayaking, sky diving.
- 14 Q. Okay. So --
- 15 A. I don't enjoy putting 238 people's lives in jeopardy.
- 16 There's -- there's a difference.
- 17 O. I'm not --
- 18 A. Okay.
- 19 Q. I'm not saying it's --
- 20 A. I don't drive ships like I drive my car.
- Q. On that note, Barry --
- BY MR. STRAUCH:
- 23 Q. Because you have made the admission about driving
- 24 your car that way --
- 25 A. I drive a Subaru Forrester. It's not like --

- 1 Q. How many Third Mates have you (inaudible) experience?
- 2 I mean right out of school, and moving up --
- 3 A. I'm not sure that I've ever actually -- for the most
- 4 part, at least, been on one ship before.
- 5 Q. This is the first time you've really carried someone?
- 6 A. Yes.
- 7 Q. I have to ask you -- in your experience at Kings
- 8 Point (inaudible) in terms of what you were, you know,
- 9 (inaudible) on a ship, do you have the same confidence in
- 10 someone's knowing that they went to another maritime school
- 11 other than Kings Point?
- 12 A. Well, that's kind of -- no, Kings Point is the best.
- 13 Q. Every other school --
- 14 A. Yeah, I'm sorry, I mean -- they send people from all
- 15 over the world to go to Kings Point for a reason. All the
- 16 other schools are trying to catch up to us. I mean -- that's
- 17 the question (inaudible) -- but as far as, like, feeling that
- 18 any of them are less -- inferior, no. Anybody from -- I found
- 19 was excellent Captains, excellent Mates from all the other
- 20 schools.
- 21 Q. Okay. (inaudible) I'm not going to ask you about
- 22 the Navy, and all this other stuff. You told -- you told us
- 23 that Mike (inaudible), the Chief Mate --
- A. Yes, sir.
- Q. -- he was the person who mentioned that it's quite

- 1 all right to (inaudible) standing watch? (inaudible) could
- 2 have been Mike (inaudible) -- this was the first time you'd
- 3 actually (inaudible), right? So could that be Mike -- Mike
- 4 Mulkey's (inaudible)?
- 5 A. If you don't know him --
- 6 Q. Don't want you to speculate there --
- 7 A. No, I don't. The thing is, and Mike has worked out
- 8 in these waters for years, he knows these waters very well. He
- 9 is the one who told me that -- because I had the watch, and he
- 10 said, "If you don't feel comfortable, go south, if you feel
- 11 comfortable, go north."
- 12 Q. Yeah, okay. Yeah. Then, the second question I have
- 13 is, in your opinion, if you sail on a vessel with D drives, and
- 14 you are sailing a normal sailing mode on the autopilot, or if
- 15 the D drives are engaged (inaudible) in parallel --
- 16 A. Yes, sir.
- 17 Q. How different is sailing a D drive ship from
- 18 (inaudible)?
- 19 A. This may be far out there, but --
- 20 O. No, no, no -- a normal --
- 21 A. -- it's like going from a tanker to a cigarette boat.
- 22 It's very maneuverable, and it's --
- 23 O. What is the limitation of the D drives -- the normal
- 24 (inaudible) besides whether the angle -- your angle --
- 25 A. Limitations turn?

- 1 Q. Yeah. Can you -- can you, at any speed, override the
- 2 D drive (inaudible)?
- 3 A. Yes, you can. You can --
- 4 Q. You can --
- 5 A. -- yell that --
- 6 Q. -- lose power at all?
- 7 A. Not on the autopilot. On the autopilot, they operate
- 8 together.
- 9 Q. Okay.
- 10 A. But if I take it out of autopilot --
- 11 Q. Is that manual?
- 12 A. -- to a manual, yes, I can turn on -- 360 degrees
- 13 independent (inaudible)
- 0. Okay. So on autopilot, then, when they're working in
- 15 parallel, (inaudible) shifting rudders?
- 16 A. Yes, sir. Very -- yes. Yes. To clarify, the only
- 17 time that the ship is operating in anything -- any different is
- 18 at slow speeds, when you're maneuvering. The fact that I can
- 19 hold it in a position for a long period of time, underway, I've
- 20 never seen anything different than any other vessel.
- Q. Yes. Ship has (inaudible) positional capability?
- 22 A. No, it does not. But I know (inaudible) full speed
- 23 or stop, even on low speed (inaudible). We joke about that a
- 24 lot, actually.
- MR. TURRELL: Anyone else besides Barry?

- 1 BY LT. BRIERLEY OSTRANDER:
- Q. One last question. You were talking about -- because
- 3 you had been working up rivers, your level training is
- 4 elevated. Can you elaborate on that?
- 5 A. Operating in deep ocean, you may have one course
- 6 change a week -- well, a day. On the river here at Juneau, you
- 7 have one course change every ten minutes or more. So you're --
- 8 four hours of watch on the Snake River, you're come off
- 9 exhausted. You're alert the entire time, so you're learning a
- 10 lot. I feel as though I know more about seamanship -- the last
- 11 four months than I probably learned in the last five years.
- MR. TURRELL: That's it? Paul?
- MR. WEBB: No, sir.
- 14 MR. TURRELL: Yeah, I'm kind of giving you an
- 15 opportunity here to make any comments, suggestions, anything --
- 16 general observations you may have about the accident, an
- 17 opportunity to make any statement you'd like to make.
- 18 MR. STAPLES: I have no statement.
- 19 MR. TURRELL: If you'll just acknowledge that this is
- 20 being recorded, and we'll end the interview.
- 21 MR. STAPLES: This has been recorded.
- MR. TURRELL: Thanks.
- 23 (Whereupon, the interview in the above-entitled
- 24 matter was concluded.)

25

CERTIFICATE

This is to certify that the attached proceeding before the NATIONAL TRANSPORTATION SAFETY BOARD

IN THE MATTER OF: The Investigation of

Empress of the North

Juneau, Alaska Marine Accident

Interview of Kevin Staples

DOCKET NUMBER: DCA-07-MM-015

PLACE: Auke Bay, Alaska

DATE: May 15, 2007

was held according to the record, and that this is the original, complete, true and accurate transcript which has been compared to the recording accomplished at the hearing.

Matt Dycus Transcriber